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PTO/SB/21 (09-04)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

	Application Number	10/771,755
	Filing Date	February 4, 2004
	First Named Inventor	John Brotzman
	Art Unit	3753
	Examiner Name	
	Attorney Docket Number	BAC-002

4

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Return postcard
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Verrill Dana LLP		
Signature			
Printed name	Chris A. Caseiro		
Date	January , 2005	Reg. No.	34,304

### CERTIFICATE OF TRANSMISSION/MAILING

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Signature	<i>Chris A. Caseiro</i>		
Typed or printed name	Chris A. Caseiro	Date	January 10 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. John Brotzman  
of:

Serial 10/771,755

No:

Filed: February 4, 2004

Entitled: ACTUATION SYSTEM FOR FLUID FLOW  
DIVERTER

Examiner:

Art Unit: 3753

Attorney Dkt: BAC-002

Paper No.: 2

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**FIRST INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted in accordance with the duty of disclosure under 37 C.F.R. 1.56.

USPTO Form SB-08 (one sheet) is included herewith. Pursuant to current rules, copies of the listed US patent references have not been provided.

Pursuant to 37 C.F.R. § 1.97, Applicant states that each item of information contained in this Information Disclosure Statement has been cited prior to receipt of the first office action therefore, no fee is required.

Applicant does not intend to represent that any of the documents submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents

related to this invention.



Applicant respectfully requests that the documents submitted herein be considered and made of record in this application.

Respectfully submitted,

*Chris A. Caseiro*

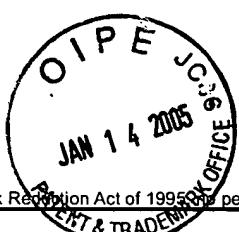
Chris A. Caseiro  
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Attorney for Applicant  
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Typed or printed name: Chris A. Caseiro



PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**Complete if Known**

Application Number	10/771,755
Filing Date	02/04/2004
First Named Inventor	Brotzman
Art Unit	3753
Examiner Name	

Attorney Docket Number BAC-002

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> ( <i>if known</i> )			
		US- 6,116,264	09/12/2000	Bachmann	
		US- 5,918,632	07/06/1999	Bachmann, et al.	
		US- 5,186,205	02/16/1993	Bachmann	
		US- 4,932,437	06/12/1990	Bachmann	
		US- 4,919,169	04/24/1990	Bachmann, et al.	
		US- 4,905,662	03/06/1990	Bachmann	
		US- 4,832,527	05/23/1989	Bachmann	
		US- 4,823,836	04/25/1989	Bachmann, et al.	
		US- 4,821,507	04/18/1989	Bachmann, et al.	
		US- 4,800,919	01/31/1989	Bachmann	
		US- 4,493,342	01/15/1985	Bachmann	
		US- 4,493,311	01/15/1985	Bachmann	
		US- 4,327,893	05/04/1982	Bachmann	
		US- 4,163,458	08/07/1979	Bachmann	
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> ( <i>if known</i> )				

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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